

Driving performance and efficiencies in financial services – solutions to improve your finance function

Our approach provides the flexibility and scalability to adjust your finance function as your business needs change, providing you with the level of service you require through the lifetime of our partnership.



What could you outsource?

- Book-keeping and management accounts
- VAT compliance and advisory
- FCA reporting
- Fund accounting and investor reporting
- Year end statutory accounts preparation
- Payroll
- Company secretarial

Other specialist services

- Client Money (CASS) Audits
- Section 166 skilled person reviews
- Assurance & tax compliance services
- Tax planning
- Corporate finance
- Forecasting and modelling
- ERP system selection & implementation

Our experience

We focus on providing bespoke innovative solutions to a broad range of financial service clients

Private Equity and FinTech

This US led private equity manager and fin-tech incubator came to us for help meeting their UK reporting requirements. Initially a VAT compliance engagement, we now provide a full suite of services including payroll, HR, audit, statutory reporting and FCA compliance in the UK, with full accounting, compliance and assurance support services provided by RSM US. Our team act as the first point of contact for the client, often introducing them to other relevant experts at RSM.

Private Debt Fund

Our client is a senior debt and growth capital provider operating in the UK and Europe. Having used in-house finance teams, a competing firm and a specialist fund administrator, our client moved to RSM for a more collaborative and value-driven service. RSM now provide a range of services including management accounting, fund accounting, investor reporting and VAT and FCA compliance, as well as working alongside our statutory accounting, tax and audit teams. We also work alongside our colleagues in RSM Luxembourg to provide a comprehensive and seamless service.

Specialist Capital Provider

A client since Jan 2016, this specialist business provides risk capital to professional services firms. RSM were chosen for our experience in servicing both the professional services and financial service sectors. Working alongside the finance lead at the client we provide bookkeeping and monthly management reporting, VAT return preparation and submissions, statutory accounts and corporate tax compliance, plus additional advisory services.

Funding Vehicle

The board of a newly created funding vehicle required an outsourced finance function to mitigate risk and add transparency to their organisation. RSM were asked to tender following a recommendation from an existing financial services client. Following a successful tender process we deliver a fully outsourced finance solution providing bookkeeping and monthly management accounts, quarterly board packs, vendor payment management, payroll and HR services. We also provide assistance to a third party "big four" auditor and work alongside our statutory accounting and tax teams to meet statutory reporting deadlines.

Insurance Broker

Our client, a Lloyds registered insurance broker and coverholder, engaged RSM as it's outsourced finance provider for a number years. This service was taken back in-house following the appointment of a new finance director. However the board quickly realised that the time of the in-house team would be better spent on core and strategic activities. We now work alongside the senior finance team providing accounting, VAT and payroll support including the provision of management information, variance analysis and KPI's for discussion at board meetings.



Harry Capewell

Partner & Head of London Outsourcing

T +44 (0)20 3201 8197

harry.capewell@rsmuk.com



David Fenton

Head of Financial Services

T +44 (0)20 3201 8621

david.fenton@rsmuk.com



Andy Kocurek

Manager Fund Administration

T + 44 (0)20 3201 8509

andrew.kocurek@rsmuk.com

rsmuk.com

The UK group of companies and LLPs trading as RSM is a member of the RSM network. RSM is the trading name used by the members of the RSM network. Each member of the RSM network is an independent accounting and consulting firm each of which practises in its own right. The RSM network is not itself a separate legal entity of any description in any jurisdiction. The RSM network is administered by RSM International Limited, a company registered in England and Wales (company number 4040598) whose registered office is at 50 Cannon Street, London EC4N 6JJ. The brand and trademark RSM and other intellectual property rights used by members of the network are owned by RSM International Association, an association governed by article 60 et seq of the Civil Code of Switzerland whose seat is in Zug.

RSM Corporate Finance LLP, RSM Restructuring Advisory LLP, RSM Risk Assurance Services LLP, RSM Tax and Advisory Services LLP, RSM UK Audit LLP, RSM UK Consulting LLP, RSM Employer Services Limited, RSM Northern Ireland (UK) Limited and RSM UK Tax and Accounting Limited are not authorised under the Financial Services and Markets Act 2000 but we are able in certain circumstances to offer a limited range of investment services because we are members of the Institute of Chartered Accountants in England and Wales. We can provide these investment services if they are an incidental part of the professional services we have been engaged to provide. RSM Legal LLP is authorised and regulated by the Solicitors Regulation Authority, reference number 626317, to undertake reserved and non-reserved legal activities. It is not authorised under the Financial Services and Markets Act 2000 but is able in certain circumstances to offer a limited range of investment services because it is authorised and regulated by the Solicitors Regulation Authority and may provide investment services if they are an incidental part of the professional services that it has been engaged to provide. Baker Tilly Creditor Services LLP is authorised and regulated by the Financial Conduct Authority for credit-related regulated activities. RSM & Co (UK) Limited is authorised and regulated by the Financial Conduct Authority to conduct a range of investment business activities. Whilst every effort has been made to ensure accuracy, information contained in this communication may not be comprehensive and recipients should not act upon it without seeking professional advice.